

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Palumbo et al.

Group Art Unit: 1755

Serial No.: 09/754,988

Filed: January 5, 2001

For: **POLYMERS AND OTHER GROUPS ATTACHED TO PIGMENTS AND
SUBSEQUENT REACTIONS**

Commissioner for Patents
Washington, D.C. 20231

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JUL 20 2001
TC 1700

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure under 37 C.F.R §§ 1.56, 1.97 and 1.98, Applicants respectfully request consideration of this Information Disclosure Statement.

Section 1. Preliminary Statements

Applicants submit herewith patents, publications, or other information on the attached form PTO-1449 (modified) of which they are aware, which they believe may be material to the examination of the above-identified application and in respect of which there may be a duty to disclose. These references also include a copy of the International Search Report of the corresponding International Application No. PCT/US01/00380, as well as the cited references.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

By submitting this Information Disclosure Statement, Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

The order of presentation of the references should not be construed as an indication of the importance of the references.

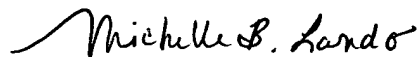
The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

Legible copies of **all** items listed on the attached form PTO-1449 (modified) are enclosed. It is respectfully requested that:

1. The Examiner considers completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application; and
3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application.

Notwithstanding the above, the Examiner is urged to form his/her own conclusions regarding the relevance of each cited reference. An early and favorable action is respectfully requested.

Respectfully submitted,



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Date: July 16, 2001

Docket No.: 99097CIP
Pat W/Rev 99097-EDG/ster

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) and 1.97(c))

1755
Docket No.
99097CIP

In Re Application Of: Palumbo et al.

Serial No.
09/754,988

Filing Date
January 5, 2001

Examiner
Not Assigned

Group Art Unit
1755

Title:

POLYMERS AND OTHER GROUPS ATTACHED TO PIGMENTS AND SUBSEQUENT REACTIONS

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
99097CIP

In Re Application Of: Palumbo et al.

Serial No.
09/754,988

Filing Date
January 5, 2001

Examiner
Not Assigned

Group Art Unit

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TC 1700

Title:

POLYMERS AND OTHER GROUPS ATTACHED TO PIGMENTS AND SUBSEQUENT REACTIONS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-0060 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on July 16, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Lyn M. Coven
Signature of Person Mailing Correspondence

Lyn M. Coven

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Michelle B. Lando
Signature

Dated: July 16, 2001

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CC: